NEW YORK
FISH & AQUATIC INVERTEBRATE INVASIVENESS RANKING FORM

Scientific name:	Oncorhynchus mykiss
Common names:	Rainbow Trout, Steelhead, Coastal Rainbow
Native distribution:	Pacific slope, upper Mackenzie River drainage, endoheic basins of southern Oregon
Date assessed:	6/18/2013
Assessors:	D. Adams
Reviewers:	
Date Approved:	Form version date: 3 January 2013

New York Invasiveness Rank: Moderate (Relative Maximum Score 50.00-69.99)

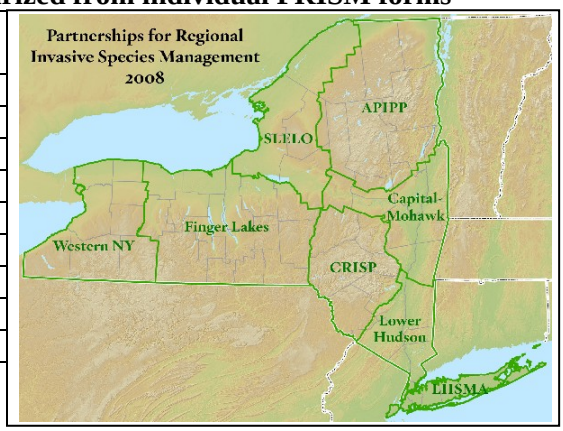
Distribution and Invasiveness Rank (*Obtain from PRISM invasiveness ranking form***)**			
	Status of this species in each PRISM:	Current Distribution	PRISM Invasiveness Rank
1	Adirondack Park Invasive Program	Common	Not Assessed
2	Capital/Mohawk	Common	Not Assessed
3	Catskill Regional Invasive Species Partnership	Common	Not Assessed
4	Finger Lakes	Common	Not Assessed
5	Long Island Invasive Species Management Area	Common	Not Assessed
6	Lower Hudson	Common	Not Assessed
7	Saint Lawrence/Eastern Lake Ontario	Common	Not Assessed
8	Western New York	Common	Not Assessed

Invasiveness Ranking Summary (see details under appropriate sub-section)		Total (Total Answered*) Possible	Total
1	Ecological impact	30 (30)	21
2	Biological characteristic and dispersal ability	30 (30)	16
3	Ecological amplitude and distribution	30 (30)	28
4	Difficulty of control	10 (10)	3
	Outcome score	100 (100)[b]	68[a]
	Relative maximum score †		68
	New York Invasiveness Rank §	Moderate (Relative Maximum Score 50.00-69.99)	

* For questions answered "unknown" do not include point value in "Total Answered Points Possible." If "Total Answered Points Possible" is less than 70.00 points, then the overall invasive rank should be listed as "Unknown."
†Calculated as 100(a/b) to two decimal places.
§Very High >80.00; High 70.00−80.00; Moderate 50.00−69.99; Low 40.00−49.99; Insignificant <40.00

A. DISTRIBUTION (KNOWN/POTENTIAL): Summarized from individual PRISM forms

A1.1. Has this species been documented in NY? (reliable source; voucher not required)	
☒	Yes – continue to A1.2
☐	No – continue to A2.1; Yes ☐ NA; Yes ☐ USA
A1.2. In which PRISMs is it known (see inset map)?	
☒	Adirondack Park Invasive Program
☒	Capital/Mohawk
☒	Catskill Regional Invasive Species Partnership
☒	Finger Lakes
☒	Long Island Invasive Species Management Area
☒	Lower Hudson



☒	Saint Lawrence/Eastern Lake Ontario
☐	Western New York

Documentation:
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

A2.0. Is this species listed on the Federal Injurious Fish and Wildlife list?
☐ Yes – the species will automatically be listed as Prohibited, no further assessment required.
☒ No – continue to A2.1

A2.1. What is the likelihood that this species will occur and persist given the climate in the following PRISMs? (obtain from PRISM invasiveness ranking form and/ or Climatch score)

Very Likely	Adirondack Park Invasive Program
Moderately Likely	Capital/Mohawk
Very Likely	Catskill Regional Invasive Species Partnership
Moderately Likely	Finger Lakes
Moderately Likely	Long Island Invasive Species Management Area
Moderately Likely	Lower Hudson
Very Likely	Saint Lawrence/Eastern Lake Ontario
Moderately Likely	Western New York

Documentation:
Sources of information (e.g.: distribution models, literature, expert opinions):
www.nas.er.usgs.gov and www.fishbase.org

If the species does not occur and is not likely to survive and reproduce within any of the PRISMs, then stop here as there is no need to assess the species.

A2.2. What is the current distribution of the species in each PRISM? (obtain rank *from PRISM invasiveness ranking forms*)

	Distribution
Adirondack Park Invasive Program	Common
Capital/Mohawk	Common
Catskill Regional Invasive Species Partnership	Common
Finger Lakes	Common
Long Island Invasive Species Management Area	Common
Lower Hudson	Common
Saint Lawrence/Eastern Lake Ontario	Common
Western New York	Common

Documentation:
Sources of information:

A2.3. Describe the potential or known suitable habitats within New York. Natural habitats include all habitats not under active human management. Managed habitats are indicated with an asterisk.

Aquatic Habitats	Wetland Habitats	Upland Habitats
☒ Marine	☐ Salt/brackish marshes	☐ Cultivated*
☒ Salt/ brackish waters	☐ Freshwater marshes	☐ Grasslands/old fields
☒ Freshwater tidal	☐ Peatlands	☐ Shrublands
☒ Rivers/streams	☐ Shrub swamps	☐ Forests/woodlands
☒ Natural lakes and ponds	☐ Forested wetlands/riparian	☐ Alpine
☐ Vernal pools	☐ Ditches*	☐ Roadsides*
☒ Reservoirs/ impoundments*	☐ Beaches/or coastal dunes	☐ Cultural*

Other potential or known suitable habitats within New York:

Documentation:
Sources of information:

www.nas.er.usgs.gov and www.fishbase.org

B. INVASIVENESS RANKING

1. ECOLOGICAL IMPACT

1.1. Impact on Ecosystem Processes and System-wide Parameters (e.g., water cycle, energy cycle, nutrient and mineral dynamics, light availability, or geomorphological changes (erosion and sedimentation rates).

A.	No perceivable impact on ecosystem processes based on research studies, or the absence of impact information if a species is widespread (>10 occurrences in minimally managed areas), has been well-studied (>10 reports/publications), and has been present in the northeast for >100 years.	0
B.	Influences ecosystem processes to a minor degree, has a perceivable but mild influence	3
C.	Significant alteration of ecosystem processes	7
D.	Major, possibly irreversible, alteration or disruption of ecosystem processes	10
U.	Unknown	

Score 7

Documentation:
Identify ecosystem processes impacted (or if applicable, justify choosing answer A in the absence of impact information)
Stocking of hatchery rainbow trout in rivers has led to introduction of whirling disease into open waters in approximately 20 states.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

1.2. Impact on Natural Habitat/ Community Composition

A.	No perceived impact; causes no apparent change in native populations	0
B.	Influences community composition (e.g., reduces the number of individuals of one or more native species in the community)	3
C.	Significantly alters community composition (e.g., produces a significant reduction in the population size of one or more native species in the community)	7
D.	Causes major alteration in community composition (e.g., results in the extirpation of one or several native species, reducing biodiversity or change the community composition towards species exotic to the natural community)	10
U.	Unknown	

Score 7

Documentation:
Identify type of impact or alteration:
In the other regions of the country rainbow trout have negatively impacted suckers and squawfish, Little Colorada Spinedance, humpback chubs, and the leopard frog.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

1.3. Impact on other species or species groups, including cumulative impact of this species on other organisms in the community it invades. (e.g., interferes with native predator/ prey dynamics; injurious components/ spines; reduction in spawning; hybridizes with a native species; hosts a non-native disease which impacts a native species)

A.	Negligible perceived impact	0
B.	Minor impact (e.g. impacts 1 species, <20% population decline, limited host damage)	3
C.	Moderate impact (e.g. impacts 2-3 species and/ or 20-29% population decline of any 1 species, kills host in 2-5 years, ,)	7
D.	Severe impact on other species or species groups (e.g. impacts >3 species and/ or ≥30% population decline of any 1 species, kills host within 2 years, extirpation)	10

U. Unknown

Score 7

Documentation:
Identify type of impact or alteration:
In other regions of the country rainbow trout have hybridized with more rare trout species thereby affecting their genetic integrity.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

Total Possible 30
Section One Total 21

2. BIOLOGICAL CHARACTERISTICS AND DISPERSAL ABILITY

2.1. Mode and rate of reproduction (provisional thresholds, more investigation needed)

A. No reproduction (e.g. sterile with no sexual or asexual reproduction). 0
B. Limited reproduction (e.g., intrinsic rate of increase <10%, low fecundity, complete one life cycle) 1
C. Moderate reproduction (e.g., intrinsic rate of increase between 10-30%, moderate fecundity, complete 2-3 life cycles) 2
D. Abundant reproduction (e.g., intrinsic rate of increase >30%, parthenogenesis, large egg masses, complete > 3 life cycles) 4
U. Unknown

Score 1

Documentation:
Describe key reproductive characteristics:
Requires annual stocking to maintain populations in most inland waters.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.2. Migratory behavior

A. Always migratory in its native range 0
B. Non-migratory or facultative migrant in its native range 2
U. Unknown

Score 2

Documentation:
Describe migratory behavior:
In large waterbodies and coastal environments rainbow trout migrate to natal spawning streams annually.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.3. Biological potential for colonization by long-distance dispersal/ movement (e.g., veligers, resting stage eggs, glochidia)

A. No long-distance dispersal/ movement mechanisms 0
B. Adaptations exist for long-distance dispersal, but studies report that most individuals (90%) establish territories within 5 miles of natal origin or within a distance twice the home range of the typical individual, and tend not to cross major barriers such as dams and watershed divides 1
C. Adaptations exist for long-distance dispersal, movement and evidence that offspring often disperse greater than 5 miles of natal origin or greater than twice the home range of typical individual and will cross major barriers such as dams and watershed divides 2
U. Unknown

Score 1

Documentation:
Identify dispersal mechanisms:
Migrating adults may stray from natal streams from time to time.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.4. Practical potential to be spread by human activities, both directly and indirectly – possible vectors include: commercial bait sales, deliberate illegal stocking, aquaria releases, boat trailers, canals, ballast water exchange, live food trade, rehabilitation, pest control industry, aquaculture escapes, etc.)

A.	Does not occur	0
B.	Low (human dispersal to new areas occurs almost exclusively by direct means and is infrequent or inefficient)	1
C.	Moderate (human dispersal to new areas occurs by direct and indirect means to a moderate extent)	2
D.	High (opportunities for human dispersal to new areas by direct and indirect means are numerous, frequent, and successful)	4
U.	Unknown	

Score 4

Documentation:
Identify dispersal mechanisms:
Stocked regularly through much of the US and world as a sport fish.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.5. Non-living chemical and physical characteristics that increase competitive advantage (e.g., tolerance to various extremes, pH, DO, temperature, desiccation, fill vacant niche, charismatic species)

A.	Possesses no characteristics that increase competitive advantage	0
B.	Possesses one characteristic that increases competitive advantage	4
C.	Possesses two or more characteristics that increase competitive advantage	8
U.	Unknown	

Score 4

Documentation:
Evidence of competitive ability:
Favored sport fish throughout the US.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.6. Biological characteristics that increase competitive advantage (e.g., high fecundity, generalist/ broad niche space, highly evolved defense mechanisms, behavioral adaptations, piscivorous, etc.)

A.	Possesses no characteristics that increase competitive advantage	0
B.	Possesses one characteristic that increases competitive advantage	4
C.	Possesses two or more characteristics that increase competitive advantage	8
U.	Unknown	

Score 4

Documentation:
Evidence of competitive ability:
May outcompete native trout, including brook trout, species in streams.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

2.7. Other species in the family and/ or genus invasive in New York or elsewhere?

A.	No	0
B.	Yes	2
U.	Unknown	

Score: 0

Documentation:
Identify species:

Total Possible: 30
Section Two Total: 16

3. ECOLOGICAL AMPLITUDE AND DISTRIBUTION

3.1. Current introduced distribution in the northern latitudes of USA and southern latitude of Canada (e.g., between 35 and 55 degrees).

A.	Not known from the northern US or southern Canada.	0
B.	Established as a non-native in 1 northern USA state and/or southern Canadian province.	1
C.	Established as a non-native in 2 or 3 northern USA states and/or southern Canadian provinces.	2
D.	Established as a non-native in 4 or more northern USA states and/or southern Canadian provinces, and/or categorized as a problem species (e.g., "Invasive") in 1 northern state or southern Canadian province.	3
U.	Unknown	

Score: 3

Documentation:
Identify states and provinces:
Successfully introduced to all northeastern states including NY, MA, ME, VT, VI, NH, PA.
Sources of information:

- See known introduced range at www.usda.gov, and update with information from states and Canadian provinces.

www.nas.er.usgs.gov and www.fishbase.org

3.2. Current introduced distribution of the species in natural areas in the eight New York State PRISMs (Partnerships for Regional Invasive Species Management)

A.	Established in none of the PRISMs	0
B.	Established in 1 PRISM	1
C.	Established in 2 or 3 PRISMs	3
D.	Established in 4 or more PRISMs	5
U.	Unknown	

Score: 5

Documentation:
Describe distribution:
Successfully introduced statewide, although many inland waters require stocking to maintain populations.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

3.3. Number of known, or potential (each individual possessed by a vendor or consumer), individual releases and/ or release events

A.	None	0
B.	Few releases (e.g., <10 annually).	2

C.	Regular, small scale releases (e.g., 10-99 annually).	4
D.	Multiple, large scale (e.g., ≥100 annually).	6
U.	Unknown	

Score 6

Documentation:
Describe known or potential releases:
Stocked by both state and private hatchery facilities.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

3.4. Current introduced population density, or distance to known occurrence, in northern USA and/ or southern Canada.

A.	No known populations established.	0
B.	Low to moderate population density (e.g., ≤1/4 to < 1/2 native population density) with few other invasives present and/ or documented in 1 or more non-adjacent state/ province and/ or 1 unconnected waterbody.	1
C.	High or irruptive population density (e.g., ≥1/2 native population density) with numerous other invasives present and/ or documented in 1 or more adjacent state/ province and/ or 1 connected waterbody.	2
U.	Unknown	

Score 1

Documentation:
Describe population density:
Natural spawning occurs in waters adjoining large lakes or oceans, otherwise stocking is required to maintain populations.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

3.5. Number of habitats the species may invade

A.	Not known to invade any natural habitats given at A2.3.	0
B.	Known to occur in 2 or 3 of the habitats given at A2.3, with at least 1 or 2 natural habitat(s).	2
C.	Known to occur in 4 or more of the habitats given at A2.3, with at least 3 natural habitats.	3
U.	Unknown.	

Score 2

Documentation:
Identify type of habitats where it occurs and degree/type of impacts:
Marine, freshwater, brackish, benthopelagic, anadromous.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

3.6. Role of anthropogenic (human related) and natural disturbance in establishment (e.g. water level management, man-made structures, high vehicle traffic, major storm events, etc).

A.	Requires anthropogenic disturbances to establish.	0
B.	May occasionally establish in undisturbed areas but can readily establish in areas with natural or anthropogenic disturbances.	2
C.	Can establish independent of any known natural or anthropogenic disturbances.	3
U.	Unknown.	

Score 3

Documentation:

Identify type of disturbance:

Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

3.7. Climate in native range (e.g., med. to high, ≥5, Climatch score; within 35 to 55 degree latitude; etc.)

A.	Native range does not include climates similar to New York (e.g., <10%).	0
B.	Native range possibly includes climates similar to portions of New York (e.g., 10-29%).	4
C.	Native range includes climates similar to those in New York (e.g., ≥30%).	8
U.	Unknown.	

Score: 8

Documentation:
Describe known climate similarities:
Widely introduced in cold waters in North America and rest of the world.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

Total Possible: 30
Section Three Total: 28

4. DIFFICULTY OF CONTROL

4.1. Re-establishment potential, nearby propagule source, known vectors of re-introduction (e.g. biological supplies, pets, aquaria, aquaculture facilities, connecting waters/ corridors, mechanized transportation, live wells, etc.)

A.	No known vectors/ propagule source for re-establishment following removal.	0
B.	Possible re-establishment from 1 vector/ propagule source following removal and/ or viable <24 hours.	1
C.	Likely to re-establish from 2-3 vectors/ propagule sources following removal and/ or viable 2-7 days.	2
D.	Strong potential for re-establishment from 4 or more vectors/ propagule sources following removal and/or viable >7 days.	3
U.	Unknown.	

Score: 1

Documentation:
Identify source/ vectors:
Popular sport fish, stocked by state and private facilities.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

4.2. Status of monitoring and/ or management protocols for species

A.	Standardized protocols appropriate to New York State are available.	0
B.	Scientific protocols are available from other countries, regions or states.	1
C.	No known protocols exist.	2
U.	Unknown	

Score: 0

Documentation:
Describe protocols:
Stream and lake fisheries monitoring surveys regularly implemented.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

4.3. Status of monitoring and/ or management resources (e.g. tools, manpower, travel, traps, lures, ID keys, taxonomic specialists, etc.)

A.	Established resources are available including commercial and/ or research tools	0
B.	Monitoring resources may be available (e.g. partnerships, NGOs, etc)	1
C.	No known monitoring resources are available	2
U.	Unknown	

Score: 0

Documentation:
Describe resources:
State fisheries staff regularly conduct lake and stream surveys throughout the state.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

4.4. Level of effort required

A.	Management is not required. (e.g., species does not persist without repeated human mediated action.)	0
B.	Management is relatively easy and inexpensive; invasive species can be maintained at low abundance causing little or no ecological harm. (e.g., 10 or fewer person-hours of manual effort can eradicate a local infestation in 1 year.)	1
C.	Management requires a major short-term investment, and is logistically and politically challenging; eradication is difficult, but possible. (e.g., 100 or fewer person-hours/year of manual effort, or up to 10 person-hours/ year for 2-5 years to suppress a local infestation.)	2
D.	Management requires a major investment and is logistically and politically difficult; eradication may be impossible. (e.g., more than 100 person-hours/ year of manual effort, or more than 10 person hours/year for more than 5 years to suppress a local infestation.)	3
U.	Unknown	

Score: 2

Documentation:
Identify types of control methods and time required:
Public demand for stocking is quite high, species is valued as a sportfish.
Sources of information:
www.nas.er.usgs.gov and www.fishbase.org

Total Possible	10
Section Four Total	3
Total for 4 sections Possible	100
Total for 4 sections	68

C. STATUS OF GENETIC VARIANTS AND HYBRIDS:

At the present time there is no protocol or criteria for assessing the invasiveness of genetic variants independent of the species to which they belong. Such a protocol is needed, and individuals with the appropriate expertise should address this issue in the future. Such a protocol will likely require data on cultivar fertility and identification in both experimental and natural settings.

Genetic variants of the species known to exist: Yes, widely raised and stocked as a sport fish.

Hybrids (crosses between different parent species) should be assessed individually and separately from the parent species wherever taxonomically possible, since their invasiveness may differ from that of the parent species. An exception should be made if the taxonomy of the species and hybrids are uncertain, and species and hybrids can not be clearly distinguished in the field. In such cases it is not feasible to distinguish species and hybrids, and they can only be assessed as a single unit.

Hybrids of uncertain origin known to exist: Hybridizes with other more rare trout species, thereby affecting their genetic integrity.

References for species assessment:
www.nas.er.usgs.gov

www.fishbase.org

Citation: The New York Fish & Aquatic Invertebrate Invasiveness Ranking Form is an adaptation of the New York Plant Invasiveness Ranking Form. The original plant form may be cited as: Jordan, M.J., G. Moore and T.W. Weldy. 2008. Invasiveness ranking system for non-native plants of New York. Unpublished. The Nature Conservancy, Cold Spring Harbor, NY; Brooklyn Botanic Garden, Brooklyn, NY; The Nature Conservancy, Albany, NY.

Acknowledgments: The New York Fish and Aquatic Invertebrate Invasiveness Ranking Form incorporates components and approaches used in several other systems, cited in the references below. Valuable contributions by members of the Invasive Species Council and Invasive Species Advisory Committee were incorporated in revisions of this form. Members of the Office of Invasive Species Coordination's Four-tier Team, who coordinated the effort, included representatives of the New York State Department of Environmental Conservation* (Division of Fish, Wildlife and Marine Resources, Division of Lands and Forests, Division of Water); The Nature Conservancy; New York Natural Heritage Program; New York Sea Grant*; Lake Champlain Sea Grant*; New York State Department of Agriculture and Markets (Division of Plant Industry and Division of Animal Industry); Cornell University (Department of Natural Resources and Department of Entomology); New York State Nursery and Landscape Association; New York Farm Bureau; Brooklyn Botanic Garden; Pet Industry Joint Advisory Council*; Trout Unlimited*; United States Department of Agriculture Animal and Plant Health Inspection Service (Plant Protection and Quarantine and Wildlife Services); New York State Department of Transportation; State University of New York at Albany and Plattsburgh*; and Cary Institute of Ecosystem Studies. Those organizations listed with an asterisk comprised the Fish and Aquatic Invertebrate Working Group.

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